

Rules Education

All personnel in the Department of Athletics have the responsibility to be knowledgeable of all NCAA, Summit League, IUPUI, and Department of Athletics rules and regulations. This should be done by reading the appropriate information and materials as they are provided, e.g., NCAA Manual, IUPUI Intercollegiate Athletics Staff Handbook, IUPUI Student-Athlete Handbook, and IUPUI Compliance Newsletters, attending scheduled rules education sessions, and asking questions.

Each academic year, the Assistant AD for Compliance will coordinate and conduct monthly rules education sessions for coaches, athletics administrators, athletics support staff, and the university community. Attendance at these sessions is mandatory.

Rules education sessions may be conducted with other appropriate University personnel (admissions, financial aid, registrar, academic advisors, etc) as deemed necessary. These sessions will be supplemented by pertinent rules education information and materials circulated to the appropriate individuals when available or necessary.

Coaches have the responsibility to inform student-athletes and other representatives of athletics interest who are close to the program of rules as they pertain to them. It is also the responsibility of each coach to be aware of the activities of these representatives in regard to student-athletes and their relationships with these representatives.

Rules Interpretations

Student-athletes, coaches, administrators, prospective student-athletes, and boosters are encouraged to ask questions of the Assistant AD for Compliance. When in doubt, ASK BEFORE YOU ACT! Interpretations prepared by the Compliance Office are to be followed at all times unless re-interpretations are obtained from the Summit League or the NCAA.

All rules related questions will be responded to as quickly as possible. If the issue must be referred to the Summit League or the NCAA, the response may be delayed.

The Director of Athletics, Associate Athletics Director/SWA, Associate Athletics Director for Academics, Assistant Athletics Director for Compliance, and Faculty Athletics Representative are the only IUPUI employees authorized to make calls to the NCAA and Summit League. Coaches may not make such calls at any time!

Procedures for Reporting and Investigating Rules Violations

Any individual (athletics staff member, student-athlete, University employee, representative of the University's athletics interest, members of the community) may report a violation or suspected violation to the Assistant Athletics Director for Compliance. Such report may be written or verbal. In addition, violations may also be reported to the Director of Athletics or Faculty Athletics Representative.

Upon notification of a report, the Assistant Athletic Director for Compliance will evaluate the alleged violation. If it can be determined that a violation has occurred, such violation will be self-reported by the Assistant AD for Compliance to the Summit League and the NCAA.

For unconfirmed secondary violations, the following procedures will be followed:

1. The Assistant AD for Compliance will notify the Director of Athletics and the Faculty Athletics Representative that a potential violation has been reported and that an investigation will commence. The Assistant AD for Compliance is responsible for conducting the appropriate investigation.
2. The Director of Athletics, at his discretion, will notify those persons involved with the alleged violation that an investigation will be conducted.
3. During the investigation, the Assistant AD for Compliance shall maintain a written record of all relevant information.
4. The finding will be reviewed and evaluated by the Director of Athletics, Assistant AD for Compliance, and the Faculty Representative. If necessary, additional assistance may be obtained from the Summit League or other authoritative source.
5. If it is determined that a violation did occur, the Director of Athletics, the Faculty Athletics Representative and the Assistant AD for Compliance will determine what, if any, corrective actions will be taken concerning the person(s) involved in the violation. Other University officials may also be consulted when warranted.
6. The violation will then be self-reported to the Summit League and the NCAA by the Assistant AD for Compliance.

Procedures related to major violations of NCAA bylaws:

1. The Assistant AD for Compliance, after gathering initial information, notifies the Chief of Staff, Faculty Athletics Representative, and Director of Athletics. The Chief of Staff notifies the IUPUI Chancellor.
2. The Infractions Committee shall be informed. It consists of the Chief of Staff, Faculty Athletics Representative, Director of Athletics, Assistant AD for Compliance, Registrar, and IUPUI's university counsel. The committee is charged with determining the process and timeline for the investigation and the review of the results of the investigation. If a student-athlete is involved, the Dean of Students will participate on the Infractions Committee.
3. If there is evidence of a major violation, the Summit League and NCAA is notified by the Director of Athletics.
4. Should the investigation determine that a major or secondary NCAA violation has occurred, the range of institutional sanctions which may be imposed are identified by the committee. The Director of Athletics makes the determination of institutional sanctions with the concurrence of the Chief of Staff. In the event of a complaint against a student-athlete, the Dean of Students will be consulted. Should there be consideration of staff being disciplined, the Personnel Subcommittee of the Athletics Committee will be consulted and a report made to the Athletics Committee, consistent with University policies.
5. The violation is self-reported to the Summit League and the NCAA.
6. The disposition of the violation is reported back to individuals involved consistent with University policies.
7. Official statements, when necessary, are drafted by the media relations director and an appropriate spokesman for the IUPUI campus is appointed by the Chief of Staff.